



2015 Board-Recommended Candidate Information and Disclosure Questionnaire

This questionnaire is designed to provide background information on potential candidates for the CIRI Board of Directors-recommended slate of candidates. The questionnaire also relates to information which Alaska law (3 AAC 08.305, et seq.) requires all candidates for Board of Director positions in ANCSA corporations to disclose about themselves. If you are selected as a candidate for the CIRI Board-recommended slate, relevant information will be disclosed in the Proxy Statement the company will prepare and file with the State Division of Banking & Securities and mail to shareholders.

- **Complete, sign and mail this form and a current resume to CIRI Shareholder Relations, PO Box 93330, Anchorage, AK 99509-3330, or fax it to (907) 263-5186, so that it is received no later than 3:00 p.m. Monday, January 19, 2015.**
- Please carefully read the instructions for each question, answer every question fully and provide any additional information you think is relevant, using additional paper if necessary. Unless stated otherwise, your answer should reflect the actual circumstances as of the date you complete this Questionnaire.
- If there is any situation about which you have any doubt, please give relevant facts so that the information may be reviewed by CIRI.
- Please refrain from using acronyms.

1. Name and Address of Candidate:

a. _____
Your **full** name as it appears on your CIRI stock certificate

b. Date of Birth: _____ Current age: _____

c. _____

Current mailing address

d. _____

Current residence address (if different from mailing address)

e. _____
Home telephone number Work telephone number Cell phone number

Email address

f. If you have ever been a director or executive officer of CIRI, state your position(s) and any period(s) during which you have served as such. **For positions still held, write "current" in lieu of an end date.**

Position Title

Dates Held

_____	From: _____	To: _____
	mm/yy	mm/yy
_____	From: _____	To: _____
	mm/yy	mm/yy
_____	From: _____	To: _____
	mm/yy	mm/yy
_____	From: _____	To: _____
	mm/yy	mm/yy

**Position Title****Dates Held**

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

2. Give a brief account of your business experience during the past five years (together with applicable dates). Include your principal occupations and employment during that period and the name of any corporation or other organization in which such occupations and employment were carried out. **For positions still held, write "current" in lieu of an end date.**

FULL LEGAL Business Name / Position Title**Dates Held**

_____/_____

_____/_____

_____/_____

_____/_____

_____/_____

_____/_____

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

3. List all positions and offices with CIRI, which you currently hold or held during 2014. **For positions still held, write "current" in lieu of an end date.**

CIRI Position Title**Dates Held**

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy

From: _____ To: _____
mm/yy mm/yy



4. List all positions and offices with any CIRI subsidiary or affiliate, which you currently hold or held during 2014. **For positions still held, write "current" in lieu of an end date.**

CIRI Subsidiary or Affiliate Name / Position Title

Dates Held

_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy

5. List all positions and offices (including volunteer service) with any other firm, corporation, business, professional entity or non-profit organization, which you currently hold or held during 2014. **For positions still held, write "current" in lieu of an end date.**

FULL LEGAL Business or Organization Name / Position Title

Dates Held

_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy
_____ / _____	From: _____ mm/yy	To: _____ mm/yy



CIRI 2015 Board-Recommended Candidate Information and Disclosure Questionnaire

6. Describe your postsecondary educational background and any relevant training programs you have completed. List the school name and address, years attended and any degrees (including the type of degree and the field of study), or training program name, duration, completion date, name of the organization that offered the program and any certifications.

7. State the number and type of shares of stock of CIRI and other ANCSA village or regional corporations of which you were the beneficial owner as of the date of this Questionnaire.

8. a. Are you related by blood, marriage or adoption to any employee, director, executive officer or nominee to become a director of CIRI or any subsidiary or other affiliate of CIRI? (Relationships more remote than first cousin need not be mentioned.) _____(Yes or No)

If your answer is "Yes," state the identity of the employee, director, officer, or nominee, the name of the company or organization, the position held and the nature of the relationship.

b. Are you related by blood, marriage or adoption to any employee, director or executive officer of any other ANCSA regional or CIRI-affiliated village corporation? (Relationships more remote than first cousin need not be mentioned.) _____ (Yes or No)

If your answer is "Yes," state the identity of the employee, director or executive officer, the name of the ANCSA regional or village or corporation, the position held and the nature of the relationship.

9. Have you, or has any member of your family, now or at any time during the calendar year 2014 been an officer, director or employee of CIRI, its subsidiaries or affiliated non-profits, or of any firm, corporation or other business or professional entity that has had, currently has or proposes to have financial or business transactions with CIRI involving more than \$20,000 in the aggregate (see definition of "transaction" at the end of this Questionnaire)? _____(Yes or No)

If your answer is "Yes," give the full legal name of each firm, corporation, or other entity involved, state the circumstances of the transaction and the amount involved on a separate sheet, and attach it to this Questionnaire.



CIRI 2015 Board-Recommended Candidate Information and Disclosure Questionnaire

10. a. List the full legal name of **any** firm, corporation, or other business or professional entity in which you (or any member of your family) **now own or have during the calendar year 2014 owned** an interest, shares, or an option to acquire an interest or shares. **If the interest or shares are no longer owned, be sure to note the year of the sale.**

- b. Are you aware of any current or proposed financial or business transactions, or any financial or business transactions occurring during 2014, between CIRI and that firm, corporation, business or professional entity involving more than \$20,000 in the aggregate (see definition of “transaction” at the end of this Questionnaire)? _____(Yes or No)

If your answer is “Yes,” give the name of each firm, corporation, or other entity involved, state the circumstances of the transaction and the amount involved on a separate sheet, and attach it to this Questionnaire.

11. Within the five years preceding the date of this Questionnaire:

- a. Was a voluntary or involuntary petition under any bankruptcy or insolvency law filed by or against, or a receiver, fiscal agent or similar officer appointed by a court for the business or property of (i) yourself; (ii) any partnership in which you were a general partner at or within two years before such event; or (iii) any corporation or business association of which you were an executive officer at or within two years before such an event? _____(Yes or No)
- b. Were you convicted (or did you plead nolo contendere) in any criminal proceeding, or are you the named subject of a criminal proceeding that is currently pending? Omit traffic violations and other minor offenses. _____(Yes or No)

If the answer to either of the foregoing questions (Questions 11a or 11b) is “Yes,” give the details on a separate sheet and attach it to this Questionnaire.



CIRI 2015 Board-Recommended Candidate Information and Disclosure Questionnaire

- 12.** Were you ever the subject of any order, judgment or decree of a court or administrative agency, not subsequently reversed, suspended, or vacated, which was based on or included a finding that you:
- a. Engaged in fraud, unethical or illegal business practices? _____(Yes or No)
 - b. Engaged in any illegal activity in connection with the purchase or sales of any security or in connection with any violation of federal or state securities laws? _____(Yes or No)

If the answer to either of the foregoing questions (Questions 12a or 12b) is "Yes," give the details on a separate sheet and attach it to this Questionnaire.

- 13.** Were you ever found, as determined by a court of competent jurisdiction, to have breached a confidentiality agreement with CIRI? _____(Yes or No)

If your answer is "Yes," give the details on a separate sheet and attach it to this Questionnaire.

- 14.** Do you know of any legal proceedings in which either you or any associate of yours (including any company of which you are an officer or director and any partnership of which you are a partner) is a party adverse to CIRI or any subsidiary or in which either you or any associate has an interest adverse to CIRI or any subsidiary? _____(Yes or No)

If your answer is "Yes," give the details on a separate sheet and attach it to this Questionnaire.

- 15.** Arrangements as to Election:

Do you, or will you, have any other participants¹ in your campaign?: _____(Yes or No)

- a. Describe any arrangements or understandings between you and any other person, group, or entity regarding your nomination or election as a director, and list any committee or group involved in the election of directors or other matters to be voted on at the Annual Meeting with which you are affiliated.

¹As defined in 3 AAC 08.365 (11), a "participant" includes (a) a committee or group which solicits proxies, or a member of such committee or group; (b) a person who finances, directly or indirectly, the solicitation of proxies, except a person who contributes less than \$500; and (c) a person who solicits proxies.



CIRI 2015 Board-Recommended Candidate Information and Disclosure Questionnaire

- b. List the name and address of any person, group, or entity that will or may solicit proxies on your behalf (other than CIRI) and describe any methods to be employed to solicit proxies (for example, U.S. mail, fax, phone calls, emails, internet postings, etc.). Also list the total amount you expect to spend on soliciting proxies, along with the amount you have already expended, if any, and state whether you will seek reimbursement of your solicitation expenses from CIRI.

- c. List the name and address of any person, group, or entity that has financed or expects to finance, directly or indirectly, your solicitation of proxies (other than CIRI, and other than one that will contribute less than \$500 in the aggregate and that is not otherwise a participant).

- d. Do you, or any of the "participants" identified above, have an arrangement or understanding with any entity for future employment by CIRI (or its affiliates) or future financial transactions to which CIRI (or its affiliates) will be or may become a party (see definition of "transaction" at the end of this Questionnaire)? _____(Yes or No)

If your answer is "Yes," provide a description of the terms of and parties to each arrangement or understanding on a separate sheet and attach it to this Questionnaire.

16. Are you eligible to serve as a CIRI Director?² _____(Yes or No)

17. If you are nominated by the Board, are you willing to seek election as a member of the Board-recommended slate of candidates? _____(Yes or No)

² Pursuant to CIRI's By-Laws, CIRI Directors must be at least 18 years of age and a voting CIRI shareholder. Additionally, no person shall be qualified to serve as a Director who: (1) has been convicted, as determined by a court of competent jurisdiction, of a felony within five years before election; (2) has been found, as determined by a court of competent jurisdiction, to have engaged in fraud or illegal business practices; or (3) has been found, as determined by a court of competent jurisdiction, to have breached a confidentiality agreement with the Corporation.



CIRI 2015 Board-Recommended Candidate Information and Disclosure Questionnaire

- 18.** Do you consent to serve as a director, if elected? _____(Yes or No)
- 19.** If you are elected to the CIRI Board, are you also interested and willing to serve as a director on the Board of one or more of the CIRI-affiliated nonprofit organizations? _____(Yes or No)

I understand that the information set forth above will be relied upon by CIRI in the preparation of its Proxy Statement for the upcoming Annual Meeting of Shareholders. In that connection, I hereby affirm to CIRI that the information set forth above is complete and accurate and does not contain any material misrepresentation (as such term is defined in 3 AAC 08.315). I understand that as part of the nominating procedure, my references and other information may be verified, and my signature on the attached release form authorizes CIRI to do that.

Signature of Nominee _____
Date

Printed Name



Personal Statement

I, _____, am a candidate for nomination to the CIRI Board of Directors Recommended Candidate Slate. If selected, I hereby request the inclusion of the following personal statement of 500 words or less in the CIRI Proxy Statement and CIRI *Raven's Circle* newsletter. I certify that the contents are true and correct to the best of my knowledge and belief, and express opinions that are my own.

Please attach a photograph of yourself if you want it to be included in the Voter's Guide/Proxy Statement and *CIRI Raven's Circle* newsletter.

Signature

Date



AUTHORIZATION FOR RELEASE OF INFORMATION

I understand, as a candidate for the CIRI Board of Directors, that my qualifications as a Board-recommended candidate by the Company may be contingent upon satisfactory results of a background investigation.

I hereby authorize COOK INLET REGION INC. and/or its authorized agents to conduct such a background investigation. Inquires may be made about me including but not limited to previous employer verifications, education verifications, consumer credit reports, criminal conviction history, motor vehicle reports, social security trace reports; and other reports. I understand that COOK INLET REGION, INC. and/or its authorized agent(s) may be requesting information from various Federal, State, and other agencies that maintain records concerning my past activities relating to my driving, credit, criminal or civil cases, and other experiences, and authorize the disclosure of such information. I further authorize disclosure of information involving me in the files of insurance companies.

I hereby authorize and release, without reservation, any party or agency contracted by COOK INLET REGION, INC. and its employees or assigns from any and all claims, action, suits, agreements, or liabilities arising from the release of said information to COOK INLET REGION, INC. or any authorized agent thereof.

Note: Before signing this document, read it thoroughly and complete all requested information. If not applicable, indicate by drawing a line through the section.

I have read and understand the above notice.

NAME:

First: _____ Middle Initial: _____ Last Name: _____

Date of Birth: _____

Social Security Number: _____ - _____ - _____

Drivers' License Number: _____ State of Issuance: _____

Phone: _____

Present Address: _____

City: _____ State: _____ Zip: _____

I have read and understand the above notice.

Signature: _____ Date: _____